A correction to this Notice has been addressed in askFSIS

## UNITED STATES DEPARTMENT OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE WASHINGTON, DC

**FSIS NOTICE** 

12-15

2/26/15

## **UPDATING THE PHIS PROFILE FOR RAW CHICKEN AND TURKEY PRODUCTS**

## I. PURPOSE

- A. This notice instructs inspection program personnel (IPP) in establishments slaughtering or producing raw intact or raw non-intact chicken and turkey products to update the establishment's Public Health Information System (PHIS) profile information. FSIS has made changes to the product group options in the PHIS establishment profile to identify establishments that produce specific types of raw intact and non-intact chicken and turkey products. This notice instructs IPP to update the information on raw chicken and turkey products in the establishment profile in PHIS, including whether establishments produce parts (intact and non-intact), by completing a high priority task. This notice also provides instructions for updating the profile to identify whether establishments produce whole birds or comminuted product.
- B. This notice also instructs supervisory personnel to verify that the establishment's PHIS profile information is accurate.

## II. BACKGROUND

- A. On January 26, 2015, FSIS published the Federal Register notice <u>"Changes to the Salmonella</u> and <u>Campylobacter Verification Testing Program: Proposed Performance Standards for Salmonella and <u>Campylobacter in Not-Ready-to-Eat Comminuted Chicken and Turkey Products and Raw Chicken Parts and Related Agency Verification Procedures and Other <u>Changes to Agency Sampling.</u>" In this <u>Federal Register</u> notice, FSIS stated that the Agency would begin sampling chicken parts in March 2015. FSIS will issue sampling instructions for chicken parts in another notice.</u></u>
- B. The Agency determined that it can improve the sample collection rates for comminuted chicken and turkey products by updating the PHIS profile.

## III. IPP RESPONSIBILITIES FOR UPDATING PRODUCTS IN ESTABLISHMENT PROFILES

A. Updating the PHIS profile is a high priority task, and IPP are to complete it no later than 30 days following issuance of this notice. An alert will be sent in PHIS to IPP in establishments identified as slaughtering chickens or turkey, or producing at least one raw chicken or turkey product, on the day the task deploys. A second alert will be sent two weeks later to establishments with an incomplete task as a reminder that the task is available and is to be completed no later than 30 days after the issuance of this notice.

DISTRIBUTION: Electronic	NOTICE EXPIRES: 3/1/16	OPI: OPPD

- B. IPP in establishments slaughtering or producing raw chicken and turkey products are to look for the task "Update Profile Raw chicken or turkey products" on the task list and schedule it on the task calendar. IPP are to update products in the PHIS establishment profile for chicken and turkey products in the HACCP Processing Categories "Raw Product Non-intact" and "Raw Product Intact" as directed in Chapter II, Part IX of FSIS PHIS Directive 5300.1, Managing the Establishment Profile in the Public Health Information System (PHIS).
- C. The task is to be completed only once per active establishment. IPP are to follow the instructions in FSIS Directive 13,000.1, Scheduling In-plant Inspection Tasks in the Public Health Information System (PHIS), when scheduling their inspection tasks. IPP are to set aside up to 2 hours to complete this high priority task before completing other tasks of the same priority. IPP are to designate any task that they did not complete as "not performed" as a result of performing the "Update Profile Raw chicken or turkey products" task. IPP are to select "Higher priority task took precedent" as the reason code. The following IPP are to complete or determine who is to complete the task:
  - 1. In multi-inspector/multi-shift establishments, the supervisor is to determine which of the IPP on which shift is to complete this task.
  - 2. In multi-shift establishments with one IPP per shift, the supervisor is to determine which of the IPP will complete this task.
  - 3. Relief IPP assigned to an establishment with one shift are to complete the task the first week they are assigned to the facility, if the task has not already been completed by the IPP assigned to complete this task.
  - 4. Other IPP in establishments slaughtering or producing raw chicken or turkey products who are not assigned to complete the task are to schedule the task to their calendar, then mark the task as "Not performed" and select the justification "Task assigned to another inspector."
- D. IPP are to enter or update one product group entry in the profile at a time. If IPP enter or update multiple product groups at the same time, PHIS will not apply the intended use field correctly, which will impact sampling assignment.
- E. To delete a product group entry, select the trash can icon (yellow arrow).



F. IPP are to enter or update each finished product group separately by intended use. If more than one intended use is listed for a finished product group, then IPP are to enter the product group separately (once under each intended use).

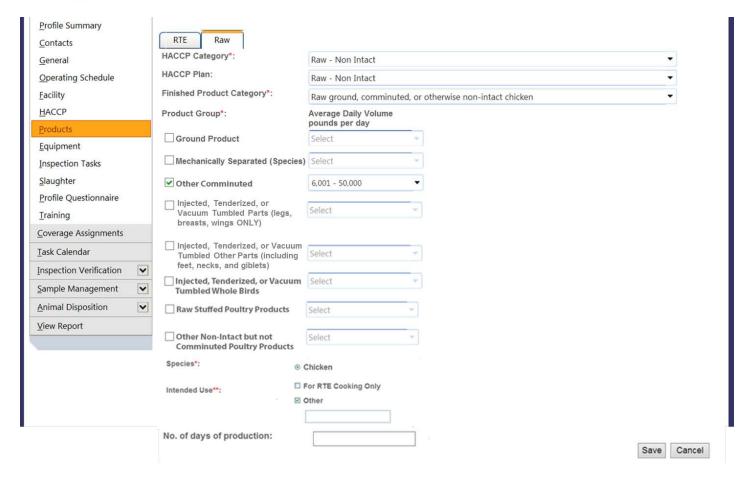


- 1. IPP are to select the "For RTE Cooking Only" option only if the product is intended for use in ready-to-eat (RTE) products at another federally-inspected establishment, and only if the establishment records and controls support the intended use. IPP are to follow the instructions in Chapter VII, Section II, of <u>FSIS Directive 10,250.1</u> to determine whether the intended use of the product is for processing into RTE product, and whether the establishment has controls to ensure that the product is processed into RTE product.
- 2. IPP are to select "Other" for the intended use if product is intended for consumer cooking (the product is ready-to-cook), goes to other official establishments for further processing (but not RTE treatment), is exported, goes to hotels/restaurants/institutions, or goes to a facility other than an official domestic establishment.

For example, an establishment makes ground turkey. Some of the ground turkey receives a full lethality treatment at another official domestic establishment, while the rest is packaged for consumers and for hotels, restaurants, and institutions (HRI). IPP would enter ground turkey two separate times in the establishment profile. They would check the "For RTE Cooking Only" intended use box and enter the volume produced of ground turkey that will receive the lethality treatment. For the raw product packaged for consumers and for HRI, they would create a separate entry for ground turkey, checking the "Other" intended use box, and entering the volume produced of ground turkey that is packaged for consumers and for HRI.

G. IPP are to enter the production volume for each product group entry, as described in FSIS <a href="PHIS Directive 5300.1">PHIS Directive 5300.1</a>, Managing the Establishment Profile in the Public Health Information System (PHIS). If an establishment produces two or more types of product that are <a href="within">within</a> the <a href="mainto:same">same</a> product group and that have the same intended use, then IPP are to enter the total volume for all products within that product group in a single entry.

For example, if an establishment produces raw chicken patties (average daily production of 3,000 pounds) and raw chicken sausage (average daily production of 5,000 pounds) that are not intended for a lethality treatment at another federally inspected establishment, IPP would enter both types of poultry as a single entry under "Other comminuted," with the average daily volume representative of the total volume of both products (8,000 pounds). The entry would appear as below:



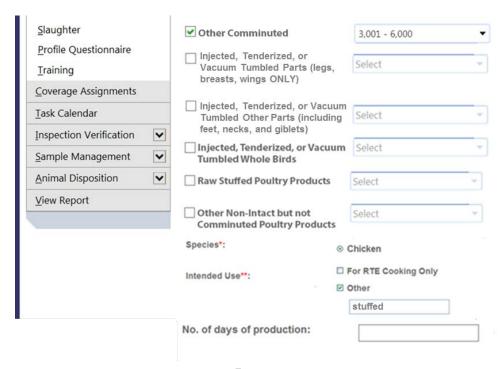
- H. For each entry, IPP are to enter the number of days the product was produced in the previous calendar month as a number. For example, if IPP are updating products on February 4, they would enter the number of days product was produced for each entry during the month of January as a number (e.g. 20, not 20 days).
- I. Attachment 1 provides additional screenshots to illustrate how finished products are to appear in the PHIS profile. This attachment provides information describing how to add products (Figures 1-6), using raw non-intact product as an example.
- J. After the profile has been updated to include all products by following the instructions in this notice to perform the task "Update Profile Raw chicken or turkey products," IPP are to mark the task as complete. Even if IPP determine, after reviewing the instructions in this notice, that no changes are needed to existing product entries, they are to mark the task as complete. IPP are to keep the information in the establishment profile up-to-date and accurate, as instructed in Chapter I, Part X of FSIS PHIS Directive 5300.1, Managing the Establishment Profile in the Public Health Information System PHIS).

# IV. IPP RESPONSIBILITIES FOR UPDATING RAW NON-INTACT CHICKEN AND TURKEY PRODUCTS

A. Raw, non-intact chicken and turkey products in the "Raw product – non-intact" HACCP category, finished product category "Raw ground, comminuted, or otherwise non-intact chicken (or turkey)," belong in one of eight product groups, as described below. IPP are to update the PHIS profile to enter appropriately finished chicken and turkey products into one or more of these eight product groups:

- Ground Product: ground chicken or turkey for any purpose (e.g., packaged for consumers or for any type of further processing). Such products may include dry seasoning or rosemary extract. Products that include ground product as a component, for example turkey patties and sausages, are not to be categorized as ground product. Instead they are to be categorized as "Other Comminuted," as described below.
- Mechanically Separated (Species): mechanically separated chicken or turkey, as defined in 9 CFR 381.173.
- 3. Other Comminuted: chicken or turkey product, other than ground product or mechanically separated product, that has otherwise been processed to significantly reduce particle size. In addition, raw finished products made from all types of comminuted poultry are to be included in the "Other Non-Intact but not Comminuted Poultry Product" group. These products can include other ingredients, such as spices, seasonings, rosemary extract, and vegetables. Some examples of these finished products include raw chicken or turkey patties, fresh raw sausages made from comminuted chicken or turkey, raw dumplings containing comminuted chicken as the predominant species, and stuffed products containing comminuted chicken or turkey as the predominant species.

If an establishment makes a stuffed, raw product containing comminuted chicken or turkey, IPP are to write "stuffed" in the free text box, as illustrated below. These products are to be added in PHIS as separate entries from other comminuted products that are not stuffed.



- 4. **Injected, Tenderized, or Vacuum Tumbled Parts (legs, breasts, wings ONLY)**. Legs, breasts, and wings that are injected with liquid, vacuum tumbled, or mechanically tenderized using needles or blades. Parts include those that are whole (e.g., whole breast) and that are further cut-up into pieces equal to or larger than 3/4 inch in at least one dimension (e.g., breast tenderloins, breast strips, and breast pieces).
- 5. Injected, Tenderized, or Vacuum Tumbled Other Parts (including feet, necks, and giblets). Any other parts (such as feet, necks, giblets, quarter-carcasses, and half-carcasses) that are not entered under the previous product group and that are injected with liquid, vacuum tumbled, or mechanically tenderized using needles or blades.
- 6. **Injected, Tenderized, or Vacuum Tumbled Whole Bird**. Any whole carcasses that are injected with liquid, vacuum tumbled, or mechanically tenderized using needles or blades.
- 7. Raw Stuffed Poultry Products. Raw (not heat treated) chicken or turkey that has been injected, tenderized, or vacuum tumbled and stuffed with additional ingredients including other types of meat or poultry (provided that the chicken or turkey is the predominant species in the product). Stuffed products entered in this category may be coated in bread crumbs or breading, provided that no heat treatment has been applied to the product. Some examples of these finished products include raw injected, stuffed chicken breast (e.g. chicken Kiev) and raw mechanically tenderized whole turkeys stuffed with cranberry and bread stuffing.

**NOTE**: Raw stuffed poultry products containing any amount of raw comminuted poultry are not to be entered in the Raw Stuffed Poultry Products product group. Instead, they are to be entered under the product group "Other Comminuted," as described in section IV 3 above.

**NOTE**: Stuffed chicken products that have been heat treated (for example, to set breading or battering, or to apply char marks) are <u>not</u> to be entered under the HACCP Category\_"Raw product – non-intact." Instead, they are to be entered in PHIS under HACCP Category "Heat Treated - Not Fully Cooked - Not Shelf Stable," Finished Product Category "NRTE otherwise processed poultry," Product Group "Stuffed."

- 8. Other Non-Intact but not Comminuted Poultry Products. Any other raw non-intact product that is not comminuted, that does not fit the descriptions of the other product groups, and that has been injected with liquid, vacuum tumbled, mechanically tenderized, or otherwise processed to be non-intact but not comminuted. Cut-up parts that are 3/4 inch or larger in at least one dimension, would typically be available for consumer purchase, and are as otherwise described in section IV A 4 or IV A 5 are not to be entered in this product group but instead are to be entered as the appropriate poultry part.
- B. IPP are to enter any products currently entered in the product groups "Other non-intact" under the appropriate product groups described in section IV A.

C. IPP are to <u>delete</u> any "Other Non-Intact" product group entries in PHIS for chicken and turkey products, as shown below with the red arrow.



**NOTE:** During the 30-day period in which IPP have to review and update the profile, the "Other non-intact" product group will remain present in PHIS. However, IPP are not to enter products under this product group.

D. When entering or updating raw non-intact poultry products into PHIS, IPP are to also follow the instructions in section III.

# V. IPP RESPONSIBILITIES FOR UPDATING RAW INTACT CHICKEN AND TURKEY PRODUCTS

- A. Raw intact chicken and turkey products in the "Raw product intact" HACCP category and the finished product category "Raw intact chicken (or turkey)" belong in one of five product groups as described below. IPP are to update the PHIS profile to appropriately enter finished chicken and turkey products into one or more of the five following product groups as described below:
  - 1. **Poultry Parts (legs, breasts, wings ONLY)**. Legs, breasts, and wings that are <u>not</u> injected with liquid, vacuum tumbled, or mechanically tenderized using needles or blades. Parts include those that are whole (e.g., whole breast) and that are further cut-up into pieces 3/4 inch or larger in at least one dimension (e.g., breast tenderloins, breast strips, and breast pieces).
  - Other Poultry Parts (including feet, necks, and giblets). Any other parts (such as
    feet, necks, giblets, quarter-carcasses, and half-carcasses) that are not entered under
    the previous product group and that are not injected with liquid, vacuum tumbled, or
    mechanically tenderized using needles or blades.
  - 3. **Whole Bird**. Any whole carcasses that are <u>not</u> injected with liquid, vacuum tumbled, or mechanically tenderized using needles or blades.
  - 4. Raw Stuffed Poultry Products. Raw (not heat treated) chicken or turkey that is <u>intact</u> (has <u>not</u> been injected, tenderized, or vacuum tumbled, and is stuffed with additional ingredients) and stuffed with additional ingredients, including other types of meat or intact poultry (provided that the chicken or turkey is the predominant species in the product). Stuffed products entered in this category may be coated in bread crumbs or breading, provided that no heat treatment has been applied to the product. Some

- examples of these finished products include raw intact chicken breast stuffed with broccoli and cheese; raw intact chicken breast stuffed with almonds and ground pork; and raw intact whole turkeys stuffed with cranberry and bread stuffing.
- 5. Other Intact Poultry Products. Any other raw intact product that does not fit the descriptions of the other product groups and that has <u>not</u> been injected with liquid, vacuum tumbled, or mechanically tenderized. Cut-up parts that are 3/4 inch or larger in at least one dimension, that would typically be available for consumer purchase, and that are as otherwise described in section V A 1 or V A 2 are not to be entered in this product group but instead are to be entered as the appropriate poultry part.
- B. IPP are to enter any products currently entered in the product groups "Poultry parts (including necks/feet and giblets)" or "Further processed poultry parts (Boneless and/or Skinless Parts)" under the appropriate product groups described in section V A.
- C. IPP are to <u>delete</u> any "Poultry parts (including necks/feet and giblets)" product group entries, any "Further processed poultry parts (Boneless and/or Skinless Parts)" product group entries (for chickens), and any "Boneless and/or Skinless Parts" product group entries (for turkeys) in PHIS, as shown below with the red arrows.

# For chicken products:



# For turkey products:



**NOTE:** During the 30-day period in which IPP have to review and update the profile, the "Poultry parts (including necks/feet and giblets)" product groups and the "Further processed poultry parts (Boneless and/or Skinless Parts)" product group will remain present in PHIS. However, IPP are not to enter products under these product groups.

D. When entering or updating raw intact poultry products into PHIS, IPP are to also follow the instructions in section III.

## VI. SUPERVISORY RESPONSIBILITIES

- A. Supervisory personnel are to assign IPP in raw chicken and turkey establishments to complete the task as described in section III. C. "Supervisory personnel" refers to any Office of Field Operations (OFO) personnel who supervise IPP conducting off-line verification activities in raw chicken and turkey establishments.
- B. Supervisory personnel are to ensure that IPP have accurately entered PHIS profile information as described in Sections III-V.

## VII. DISTRICT OFFICE RESPONSIBILITIES

- A. If products in an establishment's PHIS profile remain in the product groups "Poultry Parts (including necks/feet & giblets)," "Further processed poultry parts (Boneless and/or Skinless Parts)" for chicken, "Boneless and/or Skinless parts" for turkey, or "Other non-intact" chicken or turkey 30 days after the issuance of this notice, a report will be sent to the District Manager and Deputy District Manager of each district. The report will also list the establishments in which the task was not completed.
- B. Within 14 calendar days of receiving the report, the DO is to verify that the profiles of the establishments have been updated to accurately reflect raw chicken and turkey products as described in this notice.
- C. Only establishments identified in PHIS as being active will be assigned the "Update Profile Raw chicken or turkey products" task. If an inactive chicken or turkey establishment becomes active after issuance of this notice, each DO is to ensure that the profile, including raw chicken and turkey products, is updated as instructed in FSIS PHIS Directive 5300.1 and this notice.

## VIII. QUESTIONS

Refer questions regarding this notice to the Risk, Innovations, and Management Staff through <a href="mailto:askFSIS">askFSIS</a> or by telephone at 1-800-233-3935. When submitting a question, use the **Submit a Question** tab, and enter the following information in the fields provided:

Subject Field: Enter **Notice 12-15** 

Question Field: Enter question with as much detail as possible.

Product Field: Select **General Inspection Policy** from the drop-down menu.

Category Field: Select **Sampling - Salmonella** from the drop-down menu.

Policy Arena: Select **Domestic (U.S.) Only** from the drop-down menu.

When all fields are complete, press **Continue** and at the next screen press **Finish Submitting Question** 

**NOTE:** Refer to <u>FSIS Directive 5620.1</u>, *Using askFSIS*, for additional information on submitting questions.

Assistant Administrator

Office of Policy and Program Development

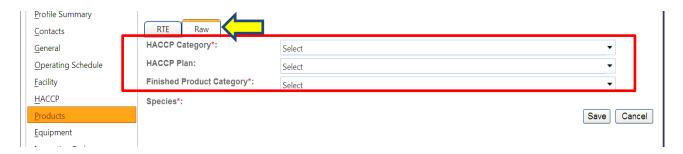
# Attachment 1. Information on Updating the PHIS Establishment Profile

This attachment provides an example to show how to add products (Figures 1-6). In this example, raw injected chicken wings and raw injected legs are being added to the profile.

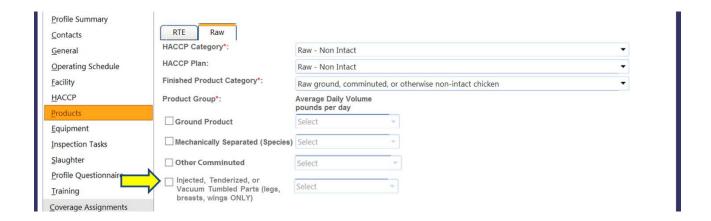
**Figure 1.** On the Products tab, select Add new Product Group (yellow arrow).



**Figure 2.** On the Raw tab (yellow arrow), enter the HACCP Category, HACCP Plan, and "Raw ground, comminuted, or otherwise non-intact chicken/turkey" Finished Product Category (red box).



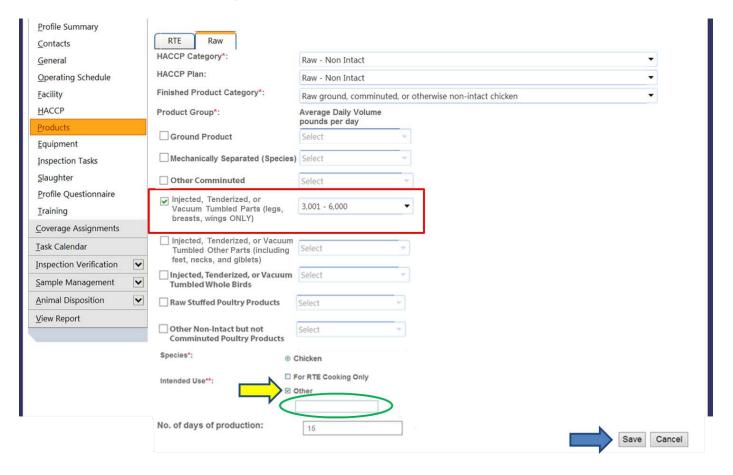
**Figure 3.** Select the appropriate Product Group. In this example, select Injected, Tenderized, or Vacuum Tumbled Parts (legs, breasts, wings ONLY) (yellow arrow).



**Figure 4.** Enter the Average Daily Volume (pounds per day) for the Product Group selected (red box), and select the intended use. The volume entered is for injected wings and injected legs combined. Also enter the number of days of production for these parts, for the previous calendar month. In this example, the intended use is "Other" (yellow arrow). Select the intended use. Click "Save" (blue arrow). Note that the volume entered should correspond to the intended use of the product entered.

In this example, any non-intact chicken parts produced that are intended for lethality treatment are to be entered <u>separately</u>, including the volume of non-intact chicken parts that are for cooking, with the intended use of "For RTE Cooking Only." The free text box (green circle) is always to be left blank, <u>unless the product being entered is a stuffed, raw product containing comminuted chicken or turkey</u>. When IPP enter such a product, then they are to enter the product under the product group "Other Comminuted" and write "stuffed" in the free text box.

IPP are not to enter multiple products at the same time because the system will not apply the intended use field correctly.



**Figure 5.** In this example, the product group Injected, Tenderized, or Vacuum Tumbled Parts (legs, breasts, wings ONLY) (red box) was added to the establishment profile.



**Figure 6.** Select the Volumes tab and verify that the HACCP Volumes are entered correctly. If needed, revise the volumes and select "Save."

